

REFERENCES

- (1) MTW CHAPTER 21
- (2) YORK, "KINEMATICS & DYNAMICS OF GR" IN "SOURCES OF GRAV. RADIATION" (L. STARR ed)
- (3) WALD, APP. E.2 ; CHAPTER 10
- (4) ARNOWITT, DESER ; MISNER (1962) "THE DYNAMICS OF GR" IN "GRAVITATION: AN INTRODUCTION TO CURRENT RESEARCH" (L. WITTEN ed)

- ADM MOTIVATION WAS, AGAIN, PREPARATION FOR QUANTIZATION OF GR; FORMALISM TURNED OUT TO BE EXCELLENT BASIS FOR COMPUTATIONAL (NUMERICAL) ASSAULT ON EINSTEIN EQUATIONS

APPROACHES

- (1) "COORDINATE-FUL" (MTW): INTUITIVE, CONNECTS MORE DIRECTLY TO FORM OF E.O.M. USED IN PRACTICE
- (2) "COORDINATE-FREE" (YORK/WALD): PREFERABLE FOR DERIVATION OF E.O.M.

KEY POINT: MUST INTRODUCE A COORDINATE SYSTEM TO NUMERICALLY GENERATE A SPACE-TIME; I.E. CAN'T STAY COORDINATE FREE FOREVER

- WILL START WITH COORDINATE BASED APPROACH TO INTRODUCE CONCEPTS, THEN WILL GO OVER TO COORDINATE-FREE APPROACH TO DERIVE 3+1 EQNS

ULTIMATE GOAL: REFORMULATE

$$G_{ab} = E_{Ti} T_{ab}$$

AS SYSTEM OF FIRST-ORDER (IN TIME) PDE'S FOR THE GRAV. FIELD VBL'S, WHICH CAN THEN BE SOLVED AS AN "INITIAL-VALUE" OR "CAUCHY" PROBLEM

SHIFT IN PERSPECTIVE: UP TO NOW $G_{ab} = E_{Ti} T_{ab}$ DESCRIBED THE LINKAGE OF THE GEOMETRY OF SPACETIME (4-D) TO THE DISTRIBUTION OF MATTER-ENERGY IN S.T.

NEW VIEW: GEOMETRY OF S.T. IS "TIME-HISTORY" (EVOLUTION) OF GEOMETRY OF A SPACELIKE HYPERSURFACE (3-D) => GEOMETRODYNAMICS; "VIEW" NOT UNIQUE SINCE THERE ARE CO'LY MANY WAYS OF "SLICING UP" GIVEN S.T. INTO A FAMILY OF S.L. HYPERSURFACES; NONE PREFERRED (I.E. PHYSICALLY MORE RELEVANT) IN GENERAL

SPLITTING SPACETIME INTO SPACE-PLUS-TIME (THE 3+1 SPLIT)

- SPACETIME IS 4-DIMENSIONAL MANIFOLD M , WITH LORENTZIAN SIGNATURE METRIC g_{ab} (-+++)
- INTRODUCE COORDINATES $\{x^a\} = \{t, x^i\}$ (MAY NOT COVER ENTIRE S.T., BUT WILL GENERALLY PRETEND THEY DO)

NOTATION / CONVENTIONS:

GREEK INDICES: $(\mu, \nu \text{ etc.})$ 0, 1, 2, 3

"INTEGER" LATIN " (i, j, k, l, m, n) 1, 2, 3 (SPATIAL)

WILL ADOPT USUAL EINSTEIN SUMMATION CONVENTION FOR BOTH TYPES!

• DEMAND THAT Σ = COINST SURFACES (HYPERSURFACES),

$\Sigma(\pm)$, ARE SPACELIKE; I.E. IF DISTINCT EVENTS P, P'

HAVE COORDS (t, x^i) , $(t, x^{i'})$ THEN $ds^2(P, P') > 0$

• SAFEST TO VIEW t AS THE PARAMETER; MAY NOT (IN FACT, IN GENERAL WILL NOT) HAVE ANY PARTIC. SIGNIFICANCE AS A PHYSICAL TIME - SUCH A NOTION IS LARGELY MEANINGLESS IN GR

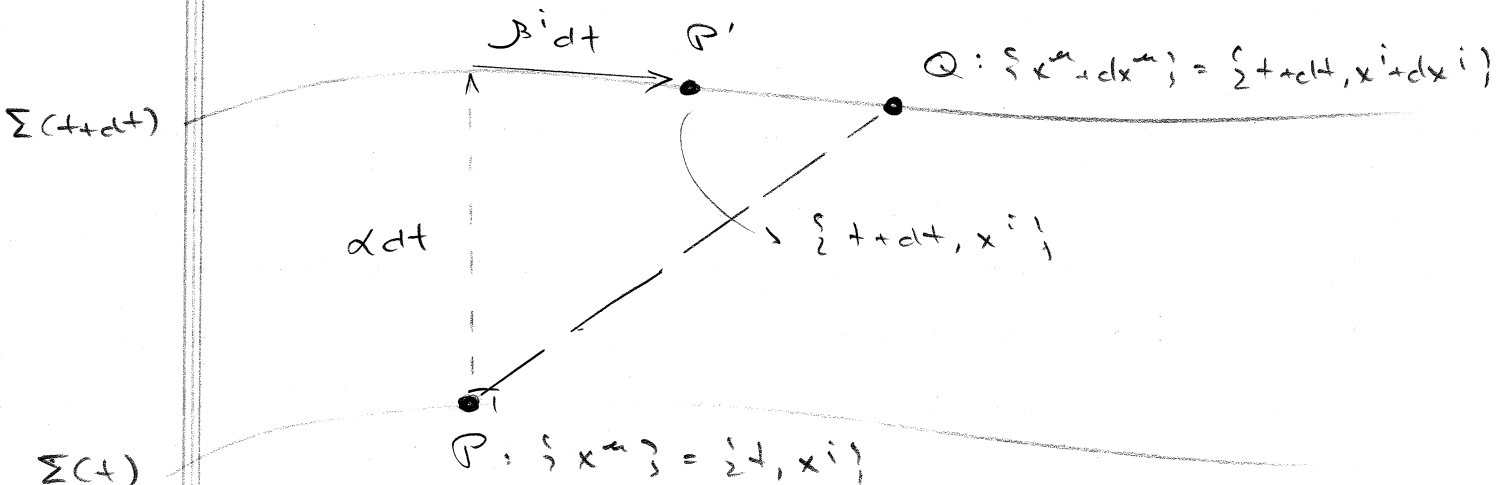
• EACH $\Sigma(t)$ IS A DIFFERENTIABLE MANIFOLD IN ITS OWN RIGHT, WITH A 3-METRIC $^{(3)}g_{ij} = ^{(3)}g_{(ij)}$ WHICH IS INDUCED ON $\Sigma(t)$ BY THE 4-METRIC $^{(4)}g_{\mu\nu}$ OF THE ENVELOPING SPACETIME

• ANY GIVEN FOLIATION (= CHOICE OF TIME COORD = CHOICE OF SLICING) ALSO DEFINES A NATURAL, UNIT-NORM VECTOR FIELD, \vec{n} , WHICH IS NORMAL (ORTHOGONAL) TO THE SLICES, AND POINTS "TO THE FUTURE"

$$\vec{n} \cdot \vec{n} = ^{(4)}g_{\mu\nu} n^\mu n^\nu = -1$$



SPACETIME DISPLACEMENT IN THE 3+1 SPLIT



"SPACETIME PITHACORAN TMM" ($\lim dt \rightarrow 0$) \Rightarrow

$$\text{distance}(P, Q)^2 = c^2 ds^2$$

$$= -c^2 dt^2 + {}^{(3)}g_{ij} (dx^i + \beta^i dt) (dx^j + \beta^j dt)$$

$$= (-c^2 + {}^{(3)}g_{ij} \beta^i \beta^j) dt^2 + 2 {}^{(3)}g_{ij} \beta^i dx^j dt$$

$$+ {}^{(3)}g_{ij} dx^i dx^j \quad (1)$$

NOTATION:

$\alpha \equiv \alpha(t, x^i)$: LAPSE FUNCTION ("LAPSE"): GIVES LAPSE OF PROPER TIME PER UNIT COORDINATE TIME FOR AN OBSERVER MOVING NORMAL TO THE SLICES

$\beta^j \equiv \beta^j(t, x^i)$: SHIFT VECTOR ("SHIFT"): 3-VECTOR DESCRIBING SHIFTING OF SPATIAL COORDINATES RELATIVE TO "NORMAL PROPAGATION"

TOGETHER $\{\alpha, \beta^i\}$ CONSTITUTE 4-FOLD COORD. FREEDOM of GR

DUAL VIEWS

(1) α, β^i ARE ESSENTIALLY FREELY SPECIFIABLE FIELDS (COORDINATE FREEDOM)

(2) SOME PRESCRIPTION FOR α, β^i MUST BE GIVEN "FROM OUTSIDE" - I.E. E.O.M. (CONSTRAINTS) ALONE WILL NOT, IN GENERAL, DETERMINE THEM ("GAUGE FIXING")

PHYS 327N THE 3+1 FORMULATION OF GR

(3)

NOTE: TENSORS SUCH AS β^i ARE DEFINED ON $\Sigma(t)$, AND ARE CALLED SPATIAL TENSORS

CLEARLY, ${}^{(3)}g_{ij}$ IS A SPATIAL TENSOR; IT HAS AN ASSOCIATED INVERSE ${}^{(3)}g^{ij}$ SATISFYING

$${}^{(3)}g^{ij} {}^{(3)}g_{jk} = \delta^i_k$$

INDICES OF 3-TENSORS ARE RAISED/LOWERED WITH ${}^{(3)}g^{ij}$, ${}^{(3)}g_{ij}$; THUS, WE CAN REWRITE (1) AS

$${}^{(4)}ds^2 = (-\alpha^2 + \beta^i \beta_i) dt^2 + 2\beta_j dx^j dt + {}^{(3)}g_{ij} dx^i dx^j$$

SO, WE HAVE

$${}^{(4)}g_{\mu\nu} = \begin{bmatrix} {}^{(4)}g_{00} & {}^{(4)}g_{0i} \\ {}^{(4)}g_{i0} & {}^{(4)}g_{ij} \end{bmatrix} = \begin{bmatrix} -\alpha^2 + \beta^k \beta_k & \beta_j \\ \beta_i & {}^{(3)}g_{ij} \end{bmatrix} \quad (2)$$

IN PARTICULAR, NOTE THAT

$${}^{(4)}g_{ij} = {}^{(3)}g_{ij}$$

I.E. SPATIAL COVARIANT COMPONENTS OF 4- AND 3-METRICS ARE IDENTICAL

THIS IS A GENERAL RESULT; GIVEN ANY 1-TENSOR OF TYPE $(0, k)$ (COVARIANT TENSOR), THE SPATIAL COMPONENTS OF THAT TENSOR CAN BE IDENTIFIED AS THE COMPONENTS OF A TYPE $(0, k)$ 3-TENSOR

WHY? RECALL THAT COVARIANT TENSOR COMPONENTS CAN BE

DEFINED IN TERMS OF THE ACTION OF THE TENSOR ON

THE COORDINATE BASIS VECTORS $\{^{(A)}\underline{e}_\mu\}$, $\mu=0, 1, 2, 3$

$$\text{E.G. } ^{(A)}t_{\mu\nu} = ^{(A)}t \left(^{(A)}\underline{e}_\mu, ^{(A)}\underline{e}_\nu \right)$$

$$\text{AND } ^{(A)}t_{ij} = ^{(A)}t \left(^{(A)}\underline{e}_i, ^{(A)}\underline{e}_j \right)$$

BUT CLEARLY THE $\{^{(A)}\underline{e}_i\}$ ARE PRECISELY THE
COORDINATE BASIS VECTORS $\{^{(B)}\underline{e}_i\}$ FOR $\Sigma(t)$ WITH
COORDINATES $\{x^i\}$; THIS INTERPRETING $^{(A)}t(\dots)$
AS A 3-TENSOR $^{(B)}t(\dots)$, WE NECESSARILY HAVE

$$^{(B)}t_{ij} = ^{(A)}t_{ij}$$

ON THE OTHER HAND, THE SPANAL MEMBERS $\{^{(A)}\underline{\omega}^i\}$ OF
THE DUAL BASIS $\{^{(A)}\underline{\omega}^a\}$, WILL NOT, IN GENERAL COINCIDE
WITH $\{^{(B)}\underline{\omega}^i\}$ - THE DUAL BASIS ON $\Sigma(t)$ DEPENDS ON
HOW $\Sigma(t)$ IS EMBEDDED IN THE S.T.

• THUS, IN GENERAL

$$^{(B)}t_{ij} \neq ^{(A)}t_{ij}$$

EXAMPLE: CONSIDER THE INVERSE 4-METRIC COMPONENTS
FROM (2)

$$^{(A)}g_{\mu\nu} = \begin{bmatrix} -1/\alpha^2 & \beta^j/\alpha^2 \\ \beta^i/\alpha^2 & ^{(B)}g_{ij} - \beta^i\beta^j/\alpha^2 \end{bmatrix} \quad (3)$$

(EXERCISE: VERIFY)

FROM (2) WE CAN ALSO COMPUTE THE USEFUL RESULT

$$\sqrt{-^{(4)}g} = \alpha \sqrt{{}^{(3)}g} \quad (A)$$

THE NORMAL VECTOR FIELD n^μ

EASIEST TO START WITH ASSOC. DUAL-VECTOR (ONE-FORM) FIELD, \underline{n}_μ

GEOM. INTERP of DUAL-VECTOR FIELD: LEVEL SURFACES of SCALAR FUNCTION \rightarrow DUAL NORMAL TO "INFINITESIMAL DISPLACEMENT" (VECTOR)



$$\langle \vec{v}, \underline{df} \rangle = v^\mu (df)_\mu = \# \text{ of level surfaces "pierced" by } \vec{v}$$

HERE, OUR SCALAR FUNCTION IS THE TIME COORDINATE t , WITH ASSOCIATED DUAL-VECTOR FIELD \underline{dt} , THEN

$$\underline{n} \propto \underline{dt}$$

OR IN COMPONENT FORM

$$n_\mu = (n_0, 0, 0, 0)$$

THEN, FROM

$$(A) \quad g^{\mu\nu} n_\mu n_\nu = -1$$

WE HAVE

SLICE CHOSEN SO THAT n^μ IS FUTURE-DIRECTED

$$n_\mu = (-\alpha, 0, 0, 0) \quad (5)$$

AND THEN

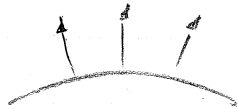
$$n^\mu = g^{\mu\nu} n_\nu = \left(\frac{1}{\alpha}, -\frac{\beta^i}{\alpha} \right) \quad (6)$$

EXTRINSIC CURVATURE

• THE INTRINSIC GEOMETRY of $\Sigma(t)$ IS DESCRIBED BY g_{ij} WHICH ENCODES ALL GEOM. INFO. WHICH MAY BE DEDUCED BY MAKING MEASUREMENTS ON $\Sigma(t)$ ALONE

• HOWEVER, A GIVEN 3-GEOMETRY (SLICE, HYPERSURFACE) MAY BE EMBEDDED IN SPACETIME IN INFINITELY MANY DISTINGUISHABLE (BY 4-D MEASUREMENTS) WAYS

EXAMPLE: 2D EMBEDDED IN 3D; FLAT SURFACE EMBEDDED WITH / WITHOUT EXTRINSIC CURVATURE



• THE MANNER IN WHICH $\Sigma(t)$ IS EMBEDDED CAN BE CHARACTERIZED BY INVESTIGATING THE CHANGE IN THE DIRECTION OF THE NORMAL FIELD AS A Fcn of POS ON $\Sigma(t)$ - THIS DEFINES THE EXTRINSIC CURVATURE TENSOR (ALSO THE SECOND FUNDAMENTAL FORM)

$$K_{ij} = -\nabla_i n_j = -\nabla_{(i} n_{j)} \quad (7)$$

ANY 3+1 THE 3+1 FORMULATION of GR

$$\nabla_i n_j = \partial_i n_j - \Gamma^{\mu}_{ij} n_{\mu}$$

BUT $n_{\mu} = (-\alpha, 0, 0, 0)$ SO

$$\begin{aligned} \nabla_i n_j &= \alpha^{(4)} \Gamma^0_{ij} \\ &= \alpha \left(g^{(4)00} \Gamma^0_{ij} + g^{(4)0c} \Gamma^c_{ij} \right) \end{aligned}$$

$$\Gamma^0_{ij} = \frac{1}{2} \left(g^{(4)}_{0i,j} + g^{(4)}_{0j,i} - \underline{g^{(4)}_{ij,0}} \right)$$

THUS

$$K_{ij} = -\frac{1}{2\alpha} \frac{\partial^{(3)} g_{ij}}{\partial t} + \dots$$

SO WE CAN VIEW THE EXTRINSIC CURVATURE AS THE "VELOCITY" OF THE 3-METRIC $^{(3)} g_{ij}$ ("CONJUGATE MOMENTA")

- I. INTRODUCE COORDS.

$$\{x^{\mu}\} = \{t, x^i\}$$

MAY NOT COVER ENTIRE S.T.
BUT WILL GENERALLY PRETEND
they do

- NOTATION / CONVENTIONS

GREEK INDICES: (μ, ν, \dots) : 0, 1, 2, 3

LATIN INDICES: (i, j, k, l, m, \dots) : 1, 2, 3 (SPATIAL)
(FORTRAN "INTEGER")

WILL ADOPT USUAL EINSTEIN SUMMATION CONVENTION
FOR BOTH TYPES

- REQUIRE $t = \text{const}$ surfaces (hypersurfaces)

$\Sigma(t)$ TO BE spacelike; I.E. IF DISTINCT EVENTS

P, P' HAVE COORDS $(t, x^i), (t, x'^i)$ THEN
 $ds^2(P, P') > 0$

- SAFEST TO VIEW t AS A TIME "PARAMETER";
MAY NOT (IN FACT, IN GENERAL WILL NOT) HAVE
ANY PART. SIGNIFICANCE AS A "PHYSICAL" TIME -
SUCH NOTION VAGUELY MEANINGLESS IN GR

• EACH $\Sigma(t)$ IS A DIFF MANIFOLD IN ITS OWN RIGHT,
WITH A 3-METRIC ${}^{(3)}g_{ij} = {}^{(3)}g_{ij}$ INDUCED ON $\Sigma(t)$
BY THE 4-METRIC ${}^{(4)}g_{\mu\nu}$ OF THE ENVELOPING SPACETIME

THE 3+1 FORMULATION of GR

REFERENCES

- 1) HTW, CHAPTER 21
- 2) YORK, IN SMARR VOL (SEE NOTES)
- 3) WARD, APP E.2; CHAPTER 10
- 4) ARNDT, DEBER; MISNER (1962) "THE DYNAMICS OF GR" III . . .

MOTIVATION

- ADM, PREPARATION FOR QUANTIZATION OF GR
- US, BASIS FOR COMPUTATIONAL (NUMERICAL) ASSAULT ON EINSTEIN'S EQN'S

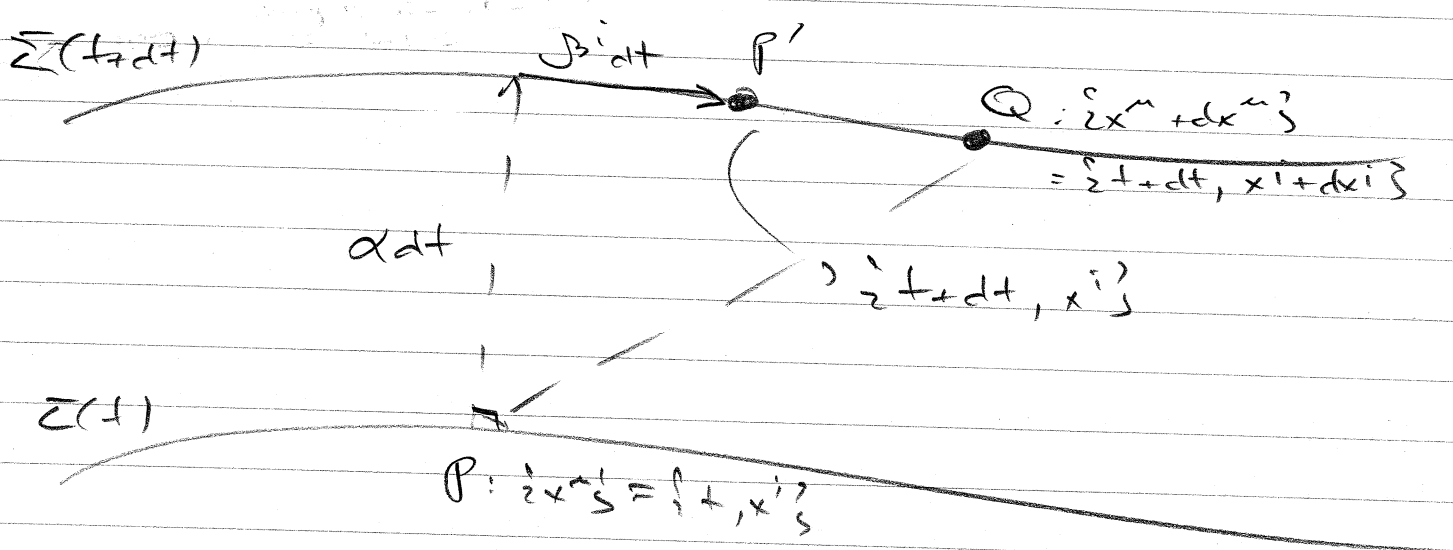
APPROACHES

- 1) "COORDINATE-FULL" (HTW) INTUITIVE, CONNECTS MORE DIRECTLY TO FORM OF EQM USED IN PRACTICE
- 2) "COORDINATE-FREE" (YORK/WARD): PREFERABLE IN DERIVATION OF EQM

• Any given FOLIATION (\equiv choice of time coord \equiv choice of slicing) ALSO DEFINES NATURAL, UNIT NORMAL VECTOR FIELD, n^μ , WHICH IS NORMAL (ORTHOGONAL) TO THE SLICES AND POINTS "TO THE FUTURE"

$$n^\mu n_\mu = {}^{(4)}g_{\mu\nu} n^\mu n^\nu = -1 \quad \underbrace{\quad\quad\quad}_{\hat{n} \hat{n} \hat{n}}$$

SPACETIME DISPLACEMENT IN THE 3+1 SPLIT



"SPACETIME PATHLENGTH" $(\lim_{dt \rightarrow 0}) \Rightarrow$

$$\begin{aligned} \text{Distance}(P, Q)^2 &= {}^{(4)}ds^2 \\ &= -\alpha^2 dt^2 + {}^{(3)}g_{ij} (dx^i + \beta^i dt) (dx^j + \beta^j dt) \\ &= (-\alpha^2 + {}^{(3)}g_{ij} \beta^i \beta^j) dt^2 + 2 {}^{(3)}g_{ij} \beta^i dx^j dt \\ &\quad + {}^{(3)}g_{ij} dx^i dx^j \end{aligned}$$

ADM NOTATION

$\alpha \equiv \alpha(t, x^i) =$ LAPSE FUNCTION ("LAPSE"): GIVES LAPSE OF PROPER TIME PER UNIT COORDINATE TIME for OBSERVER MOVING NORMAL TO SLICES

$\beta^j \equiv \beta^j(t, x^i) =$ SHIFT VECTOR ("SHIFT"): 3-VECTOR DESCRIBING SHIFTING of SPATIAL COORDS RELATIVE TO "NORMAL PROPAGATION"

• TOGETHER $\{\alpha, \beta^j\}$ CONSTITUTE 4-FOLD COORD. FREEDOM of GR

DUAL VIEWS

- 1) α, β^j ARE ESSENTIALLY FREELY SPECIFIABLE FUNCS (COORDINATE FREEDOM)
- 2) SOME PRESCRIPTION FOR α, β^j MUST BE GIVEN "FROM OUTSIDE" - I.E. FROM (EINSTEINIAN) ALONGS WILL NOT, IN GENERAL, DETERMINE THEM ("CAUSAL FIXING")

NOTE: TENSORS SUCH AS J^i ARE DEFINED ON $\Sigma(t)$, CALLED SPATIAL TENSORS

CLEARLY, ${}^{(3)}g_{ij}$ IS A SPATIAL TENSOR, HAS AN ASSOC. INVERSE ${}^{(3)}g^{ij}$ SATISFYING

$${}^{(3)}g^{ij} {}^{(3)}g_{ik} = \delta^j_k$$

INDICES OF 3-TENSORS RAISED/LOWERED WITH ${}^{(3)}g^{ij}$, ${}^{(3)}g_{ij}$, THIS CAN BE WRITTEN (1) AS

$${}^{(4)}ds^2 = (\alpha^2 + J^i J_i) dt^2 + 2 J_i dx^i dt + {}^{(3)}g^{ij} dx^i dx^j$$

SO WE HAVE

$${}^{(4)}g_{\mu\nu} = \begin{bmatrix} 1 & 3 \\ {}^{(4)}g_{00} & {}^{(4)}g_{0j} \\ \dots & \dots \\ {}^{(4)}g_{i0} & {}^{(4)}g_{ij} \end{bmatrix} = \begin{bmatrix} -\alpha^2 + J^k J_k & J_j \\ J_i & {}^{(3)}g_{ij} \end{bmatrix}$$

IN PARTICULAR, NOTE THAT

$${}^{(4)}g_{ij} = {}^{(3)}g_{ij}$$

I.E. SPATIAL COVARIANT COMPONENTS OF 4- AND 3-METRICS ARE IDENTICAL

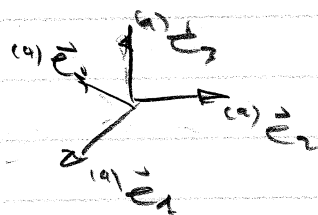
GENERAL RESULT: GIVEN ANY 4- TENSOR OF TYPE $(0,1,1)$ (COVARIANT TENSOR), SPATIAL COMP. OF THAT TENSOR CAN BE IDENTIFIED AS COMPONENTS OF A TYPE $(0,1,1)$ 3-TENSOR

WHY? BECAUSE THAT COVARIANT TENSOR COMPONENTS CAN BE DEFINED IN TERMS OF THE ACTION OF THE TENSOR ON THE COORD. BASIS VECTORS

$${}^{(a)}\bar{e}_\mu, \mu = 0, 1, 2, 3$$

E.C. ${}^{(a)}t_{\mu\nu} = {}^{(a)}t({}^{(a)}\bar{e}_\mu, {}^{(a)}\bar{e}_\nu)$

AND ${}^{(a)}t_{ij} = {}^{(a)}t({}^{(a)}\bar{e}_i, {}^{(a)}\bar{e}_j)$



BUT CLEARLY THE $\{ {}^{(a)}\bar{e}_i \}$ ARE

PRECISELY THE COORD. BASIS VECTORS

$\{ {}^{(a)}\bar{e}_i \}$ FOR $\Sigma(t)$ WITH COORDS. $\{ x^i \}$; THUS

INTERPRETING ${}^{(a)}t(\dots)$ AS A 2-TENSOR ${}^{(a)}t(\dots)$

NECESSARILY HAVE

$${}^{(3)}t_{ij} = {}^{(a)}t_{ij}$$

ON THE OTHER HAND, SPATIAL MEMBERS $\{ {}^{(a)}\omega^i \}$ OF THE DUAL BASIS $\{ {}^{(a)}\omega^\mu \}$ WILL NOT IN GENERAL COINCIDE WITH $\{ {}^{(a)}\omega^i \}$ - THE DUAL BASIS ON $\Sigma(t)$ DEPENDS ON HOW $\Sigma(t)$ IS EMBEDDED IN THE S.T.

• THUS, IN GENERAL

$${}^{(3)}t_{ij} \neq {}^{(a)}t_{ij}$$

EXAMPLE 2: CONSIDER THE INVERSE 4-METRIC COMPONENTS FROM (2)

$${}^{(2)}g^{-\mu\nu} = \begin{pmatrix} -\alpha^{-2} & \alpha^{-2} \gamma^i_j \\ \alpha^{-2} \gamma^i_j & {}^{(3)}g^{ij} - \alpha^{-2} \gamma^i_j \gamma^j_i \end{pmatrix} \quad (3)$$

EXERCISE: VERIFY

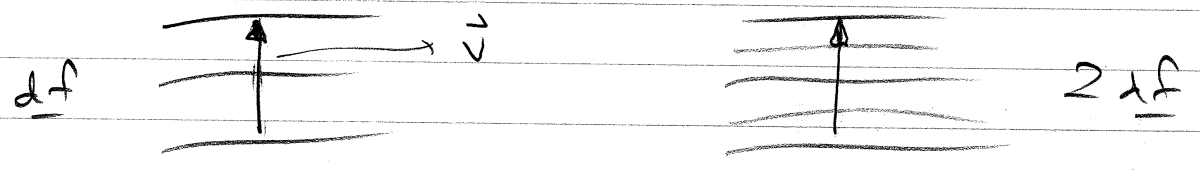
FROM (2) CAN ALSO COMPUTE THE USEFUL RESULT.

$$\sqrt{-g} = \alpha \sqrt{{}^{(3)}g} \quad (4) \quad \text{VERIFY}$$

THE NORMAL VECTOR FIELD n^μ

EASIEST TO START W. ASSOC. DUAL-VECTOR (ONE-FORM) FIELD, n_μ

GEOM. INTERP of DUAL VECTOR FIELD: LEVEL SURFACES of SCALAR FUNCTION \rightarrow DUAL NOTION to "INFINITESIMAL DISPLACEMENT" (VECTOR)



$$\langle \vec{v}, \underline{df} \rangle = v^\mu (df)_\mu = \# \text{ of level surfaces "pierced" by } \vec{v}$$

HERE, OUR SCALAR FLD IS THE TIME COORD + WITH ASSOCIATED DUAL-VECTOR \underline{dt} , THEN

$$\underline{n} \propto \underline{dt}$$

OR IN COMPONENT FORM

$$n_\mu = (n_0, 0, 0, 0)$$

THEN, FROM

$${}^{(4)}g_{\mu\nu} n_\mu n_\nu = -1$$

WE HAVE

SIGN CHOSEN SO THAT n^μ IS "FUTURE-DIRECTED"

$$n_\mu = (-\alpha, 0, 0, 0)$$

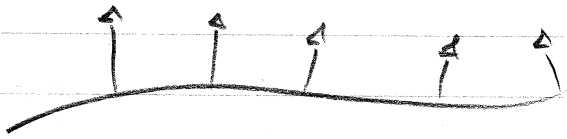
AND THEN

$$n^\mu = {}^{(4)}g^{\mu\nu} n_\nu = \left(\frac{1}{\alpha}, -\frac{\beta^i}{\alpha} \right)$$

EXTRINSIC CURVATURE

• INTRINSIC GEOMETRY of $\Sigma(t)$ IS DESCRIBED BY ${}^{(3)}g_{ij}$ ENCODES ALL CURV INFO WHICH MAY BE DEDUCED BY MAKING MEASUREMENTS IN $\Sigma(t)$ ALONE

• HOWEVER, GIVEN Σ GEOMETRY (SLICE, HYPERSURF) MAY BE EMBEDDED IN SPACETIME IN ONLY FINITELY MANY DISTINGUISHABLE (via 4-D MEASUREMENT) WAYS



• MANNER IN WHICH $\Sigma(t)$ IS EMBEDDED CAN BE CHARACTERIZED BY INVESTIGATING THE CHANGE IN THE DIRECTION OF THE NORMAL FIELD AS A Fcn OF POS IN $\Sigma(t)$ - THIS DEFINES THE EXTRINSIC CURVATURE TENSOR (aka THE SECOND FUNDAMENTAL FORM)

$$K_{ij} = -\nabla_i n_j = -\nabla_{\partial_i} n_j \quad (7)$$

$$\nabla_i n_j = \partial_i n_j - \Gamma^{\mu}_{ij} n_{\mu}$$

But $n_{\mu} = (-\alpha, 0, 0, 0)$, so.

$$\nabla_i n_j = \alpha \Gamma^0_{ij} = \alpha \left(g^{00} \Gamma_{0ij} + g^{0\ell} \Gamma_{\ell ij} \right)$$

$$\Gamma_{0ij} = \frac{1}{2} \left(g_{0i,j} + g_{0j,i} - \underbrace{g_{ij,0}} \right)$$

thus

$$K_{ij} = -\frac{1}{2\alpha} \partial_t g_{ij} + \dots$$

SO WE CAN VIEW THE EX. CURV. AS THE "VELOCITY" OF THE Σ -METRIC g_{ij} (CONJUGATE MOMENTUM)

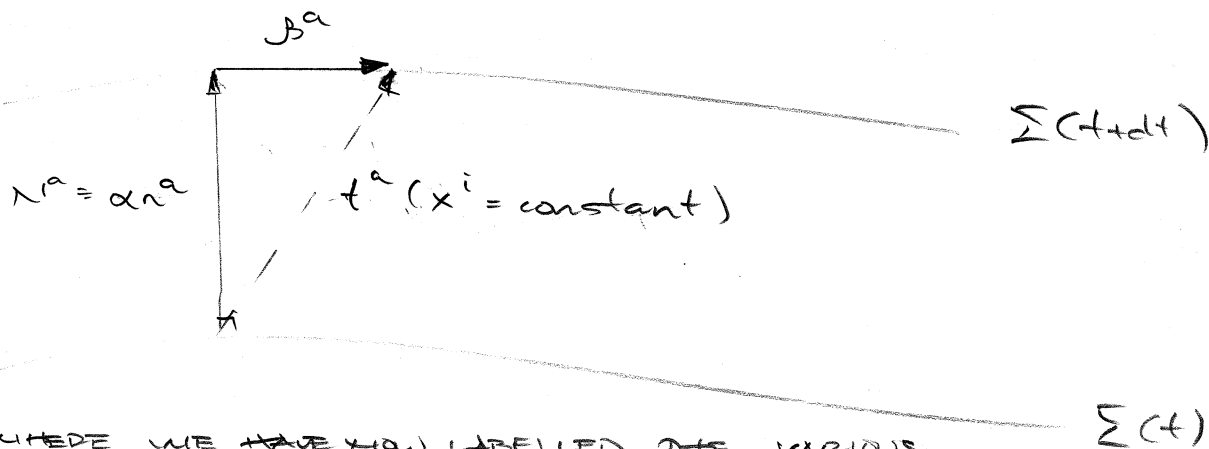
HAVING DERIVED THE 3+1 EQNS IN COORDINATE-FREE FORM

$$(E1) \quad \begin{aligned} \mathcal{L}_t \gamma_{ab} &= -2\alpha K_{ab} + \mathcal{L}_\beta \gamma_{ab} \\ &= -2\alpha \gamma_{ac} K^c{}_b + \mathcal{L}_\beta \gamma_{ab} \end{aligned}$$

$$(E2) \quad \begin{aligned} \mathcal{L}_t K^a{}_b &= \mathcal{L}_\beta K^a{}_b - D^a D_b \alpha \\ &+ \alpha (R^a{}_b + K K^a{}_b + 8\pi (\frac{1}{2} \perp^a{}_b (S-\rho) - S^a{}_b)) \end{aligned}$$

WE NOW WANT TO EXPRESS THE EQUATIONS AS TENSOR-COMPONENT EQUATIONS WITH RESPECT TO OUR 3+1 COORDINATE SYSTEM / COORDINATE BASIS

RECALL THE BASIC 3+1 PICTURE



WHERE WE HAVE NOW LABELLED THE VARIOUS VECTORS USING COORDINATE-FREE NOTATION

ALSO RECALL THAT IF $S_{\mu_1 \dots \mu_2}$ ARE THE COMPONENTS OF A 4-TENSOR, THEN FOR ANY RELATION, $S_{i_1 \dots i_2}$ ARE THE COMPONENTS OF A 3-TENSOR

INITIALLY, RECALL THE 3+1 DECOMPOSITION OF

$$g_{\mu\nu}, n_\mu, \quad g^{\mu\nu}, n^\mu$$

$$g_{\mu\nu} = \begin{bmatrix} -\alpha^2 + \beta^k \beta_k & \beta_j \\ \beta_i & g_{ij} \end{bmatrix} \quad n_\mu = (-\alpha, 0, 0, 0)$$

$$g^{\mu\nu} = \begin{bmatrix} -\frac{1}{\alpha^2} & \beta^j/\alpha^2 \\ \beta^i/\alpha^2 & g^{ij} - \frac{\beta^i \beta^j}{\alpha^2} \end{bmatrix} \quad n^\mu = \left(\frac{1}{\alpha}, -\frac{\beta^i}{\alpha}\right)$$

NOTE: $\beta_k = g_{kj} \beta^j$ $g^{ik} g_{kj} = \delta^i_j$

CLAIM: (1) $\gamma_{ij} = g_{ij}$
 (2) $\gamma^{ij} = g^{ij}$ $(\rightarrow \gamma^{ij} \gamma_{jk} = \delta^i_k)$
 (3) $\perp^i_j = \delta^i_j$

\Rightarrow GET VALID COMPONENT EQNS OF MOTION (IN $\{t, x^i\}$ COORDINATE BASIS) VIA $a \rightarrow i, b \rightarrow j$ ETC $N(E^\perp)$, (E2) AND TREATING ALL QUANTITIES AS 3- TENSORS WHOSE INDICES ARE RAISED/LOWERED VIA 3-METRIC γ^{ij}, γ_{ij}

ALSO $D_i V^j = \partial_i V^j + \Gamma_{ik}^j V^k$

$D_i V_j = \partial_i V_j - \Gamma_{ij}^k V_k$ etc

WHERE $\Gamma_{jk}^i = \gamma^{ir} \Gamma_{rjk}$

$\Gamma_{ijk} = \frac{1}{2} (\partial_k \gamma_{ij} + \partial_j \gamma_{ik} - \partial_i \gamma_{jk})$

PROOF of CLAIM: USE $\gamma_{\mu\nu} = {}^{(a)}g_{\mu\nu} + n_{\mu}n_{\nu}$
 AND ABOVE-QUOTED EXPRESSIONS FOR ${}^{(a)}g_{\mu\nu}, n_{\mu}$
 ETC.

$$\begin{aligned} \gamma_{\mu\nu} &= \begin{bmatrix} {}^{(a)}g_{00} + n_0 n_0 & {}^{(a)}g_{0j} + n_0 n_j \\ {}^{(a)}g_{0i} + n_0 n_i & {}^{(a)}g_{ij} + n_i n_j \end{bmatrix} \\ &= \begin{bmatrix} -\alpha^2 + \beta^k \beta_k + \alpha^2 & \beta_j \\ \beta_i & {}^{(a)}g_{ij} \end{bmatrix} \\ &= \begin{bmatrix} \beta^k \beta_k & \beta_j \\ \beta_i & {}^{(3)}g_{ij} \end{bmatrix} \end{aligned}$$

$$\begin{aligned} \gamma^{\mu\nu} &= \begin{bmatrix} {}^{(a)}g^{00} + n^0 n^0 & {}^{(a)}g^{0j} + n^0 n^j \\ {}^{(a)}g^{0i} + n^0 n^i & {}^{(a)}g^{ij} + n^i n^j \end{bmatrix} \\ &= \begin{bmatrix} -\frac{1}{\alpha^2} + \frac{1}{\alpha^2} & \frac{\beta^i}{\alpha^2} - \frac{\beta^i}{\alpha^2} \\ \frac{\beta^j}{\alpha^2} - \frac{\beta^j}{\alpha^2} & {}^{(3)}g^{ij} - \frac{n^i n^j}{\alpha^2} + \frac{n^i n^j}{\alpha^2} \end{bmatrix} \\ &= \begin{bmatrix} 0 & 0 \\ 0 & {}^{(3)}g^{ij} \end{bmatrix} \end{aligned}$$

→ THUS $\gamma_{ij} = {}^{(3)}g_{ij}$; $\gamma^{ij} = {}^{(3)}g^{ij}$ AS CLAIMED
 (SO γ_{ij}, γ^{ij} ARE INVERSES)

ALSO: $\perp^{\mu}_{\nu} = \delta^{\mu}_{\nu} + n^{\mu} n_{\nu}$

$$\perp^i_j = \delta^i_j + n^i n_j = \delta^i_j$$

LIE DERIVATIVES

RECALL FROM EARLY DISCUSSION OF LIE DERIVATIVE (WALD C2),
IN "EXPANSION" $\mathcal{L}_V S^{a_1 \dots a_k}_{b_1 \dots b_k}$

$$\begin{aligned} \mathcal{L}_V S^{a_1 \dots a_k}_{b_1 \dots b_k} &= V^c (\nabla_c S^{a_1 \dots a_k}_{b_1 \dots b_k}) \\ &- \sum_{i=1}^k (\nabla_c V^{a_i}) S^{a_1 \dots c \dots a_k}_{b_1 \dots b_k} \\ &+ \sum_{i=1}^k (\nabla_{b_i} V^c) S^{a_1 \dots a_k}_{b_1 \dots c \dots b_k} \end{aligned}$$

THE ∇_a CAN BE ANY DERIVATIVE OPERATOR (NOT JUST THE METRIC COMPATIBLE ONE) INCLUDING THE ORDINARY DERIVATIVE ∂_a . (NOTE THAT WE HAVE LIE DERIVATIVE TERMS IN BOTH (E1) AND (E2))

CONVERTING (E1) TO 3+1 COMPONENT FORM

(1) $a \rightarrow i, b \rightarrow j$ etc

$$\begin{aligned} \mathcal{L}_t \gamma_{ij} &= -2\alpha K_{ij} + \mathcal{L}_\beta \gamma_{ij} \\ &= -2\alpha \Gamma_{ik} K^k_j + \mathcal{L}_\beta \gamma_{ij} \end{aligned}$$

(2) CONVERT LIE DERIVATIVES TO EXPRESSIONS INVOLVING ORDINARY DERIVATIVES $\rightarrow K \equiv \frac{\partial}{\partial x^k} K \equiv \partial_k$

$$\mathcal{L}_t (\dots) = \frac{\partial}{\partial t} (\dots) = \partial_t (\dots)$$

$$\mathcal{L}_\beta \gamma_{ij} = \beta^k \partial_k \gamma_{ij} + \gamma_{ik} \partial_j \beta^k + \gamma_{kj} \partial_i \beta^k$$

(E1')

$$\partial_t \gamma_{ij} = -2\alpha \gamma_{ik} K^k_j + \beta^k \partial_k \gamma_{ij} + \gamma_{ik} \partial_j \beta^k + \gamma_{kj} \partial_i \beta^k$$

EXERCISE

CAN REWRITE THIS USING D_i (γ_{ij} - COMPATIBLE DERIVATIVES) AS FOLLOWS

$$\begin{aligned} & \beta^k \partial_k \gamma_{ij} + \gamma_{ik} \partial_j \beta^k + \gamma_{kj} \partial_i \beta^k \\ &= \beta^k \partial_k \gamma_{ij} + \partial_j (\gamma_{ik} \beta^k) - \beta^k \partial_j \gamma_{ik} \\ & \quad + \partial_i (\gamma_{kj} \beta^k) - \beta^k \partial_i \gamma_{kj} \\ &= \partial_i \beta_j + \partial_j \beta_i - (\partial_j \gamma_{ik} + \partial_i \gamma_{jk} - \partial_k \gamma_{ij}) \beta^k \\ &= \partial_i \beta_j + \partial_j \beta_i - 2^{(3)} \Gamma^k_{ij} \beta^k \\ &= \partial_i \beta_j + \partial_j \beta_i - 2^{(3)} \Gamma^k_{ij} \beta^k \\ &= D_i \beta_j + D_j \beta_i \end{aligned}$$

(E1'')

$$\partial_t \gamma_{ij} = -2\alpha \gamma_{ik} K^k_j + D_i \beta_j + D_j \beta_i$$

(ITW 21.67)

EVOLUTION EQUATION FOR EXTRINSIC CURVATURE

$$\begin{aligned} \mathcal{L}_\alpha K^a_b &= \mathcal{L}_\beta K^a_b - D^a D_b \alpha \\ &+ \alpha (R^c_b + K K^a_b + S_{II} (\frac{1}{2} \perp^a_b (S - \rho) - S^a_b)) \end{aligned}$$

$$(1) \text{ AGAIN, } \mathcal{L}_t K^a_b \rightarrow \mathcal{L}_t K^i_j = \partial_t K^i_j$$

$$(2) \mathcal{L}_\beta K^a_b \rightarrow \mathcal{L}_\beta K^i_j = \beta^k \partial_k K^i_j - \partial_k \beta^i K^k_j + \partial_j \beta^k K^i_k$$

$$(E2') \quad \partial_t K^i_j = \beta^k \partial_k K^i_j - \partial_k \beta^i K^k_j + \partial_j \beta^k K^i_k - D^i D_j \alpha \\ + \alpha (R^i_j + K K^i_j + S_{\Pi} (\frac{1}{2} \delta^i_j (S - \rho) - S^i_j))$$

WHERE: $D^i D_j \alpha = \gamma^{ik} D_k D_j \alpha$

$$= \gamma^{ik} D_k (\gamma_j \alpha)$$

$$= \gamma^{ik} (\partial_k \partial_j \alpha - \Gamma^r_{kj} \partial_r \alpha)$$

$$R^i_j = \gamma^{ik} R_{kj} = \gamma^{ik} R_{k\ell j}{}^\ell$$

$$R_{ijk}{}^\ell = -2 \partial_{[i} \Gamma^{\ell}_{j]k} + 2 \Gamma^m_{k[i} \Gamma^{\ell}_{j]m}$$

$$\Gamma^i_{jk} = {}^{(3)}\Gamma^i_{jk} = \frac{1}{2} \gamma^{i\ell} (\partial_k \gamma_{\ell j} + \partial_j \gamma_{\ell k} - \partial_\ell \gamma_{jk})$$

$$K = K^i_i = \gamma^{ij} K_{ij}$$

$$S_{ij} = T_{ij} \quad ; \quad S^i_j = \gamma^{ik} S_{kj}$$

$$\rho = \alpha^2 T_{00}$$