

# Constraint Preserving Boundary Conditions for BSSN formulation

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## Outline

- Introduction to BSSN formulation;
- Constraints of the BSSN system;
- Near-flat linearization;
- Second order in time reduction;
- Evolution of the constraints;
- Constraint preserving boundary conditions;
- Energy estimate for the original BSSN;
- More boundary conditions;

## ADM system

Arnowitt–Deser–Misner 3 + 1 decomposition in vacuum: (lapse  $a$ ; shift  $b_i$ ; 3-metric  $h_{ij}$ ; extrinsic curvature  $k_{ij}$ ; spatial Ricci tensor  $R_{ij}$ )

$$\partial_t h_{ij} = -2ak_{ij} + 2D_{(i}b_{j)},$$

$$\partial_t k_{ij} = a[R_{ij} + k_l^l k_{ij} - 2k_{il}k_j^l] + b^l D_l k_{ij} + k_{il} D_j b^l + k_{lj} D_i b^l - D_i D_j a,$$

$$R_i^i + (k_i^i)^2 - k_{ij}k^{ij} = 0, \quad \text{Hamiltonian const.}$$

$$D^j k_{ij} - D_i k_j^j = 0 \quad \text{momentum const.}$$

$$R_{ij} = \frac{1}{2}h^{pq}(\partial_p \partial_j h_{iq} + \partial_i \partial_q h_{pj} - \partial_p \partial_q h_{ij} - \partial_i \partial_j h_{pq}) \\ + h^{pq}h^{rs}(\Gamma_{ipr}\Gamma_{qjs} - \Gamma_{pqr}\Gamma_{ijs}),$$

$$\Gamma_{ijl} = \frac{1}{2}(\partial_i g_{lj} + \partial_j g_{il} - \partial_l g_{ij}).$$

**Ricci Tensor is difficult!**

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$$\Gamma_{ijl} = \frac{1}{2} (\partial_i g_{lj} + \partial_j g_{il} - \partial_l g_{ij}).$$

But can be eliminated for the trace of  $k_{ij}$ !

## BSSN: the Trace of $k_{ij}$

The evolution equation for  $k = k^l_l$  is simple

$$(1) \quad (\partial_t - b^l D_l)k = a k^{pq} k_{pq} - D^l D_l a$$

(after Hamiltonian constraint was used to eliminate Ricci)

To separate evolution of  $k$  from the system, introduce new variables

$$(2) \quad k = k^i_i, \quad A_{ij} = k_{ij} - \frac{1}{3} h_{ij} k$$

Decompose the system accordingly...

## BSSN variables

Motivation: we need a decomposition for  $h$  compatible with

$$k = k_i^i, \quad A_{ij} = k_{ij} - \frac{1}{3}h_{ij}k.$$

### The new variables

$\varphi = (1/12) \ln(\det h)$  is the conformal factor;

$\tilde{h}_{ij} = e^{-4\varphi}h_{ij}$ ,  $\tilde{h}^{ij} = e^{4\varphi}h^{ij}$  are the conformal metric and its inverse;

$k = k_{pq}h^{pq}$ , again, the trace of the extrinsic curvature;

$\tilde{A}_{ij} = e^{-4\varphi}A_{ij}$  the conformal analog of  $A_{ij}$ ,  $\tilde{A}^{ij} = e^{-4\varphi}A^{ij}$ ;

$\tilde{\Gamma}_j = \tilde{h}^{pq}\partial_p\tilde{h}_{qj}$ , the contracted Christoffel symbol, follows from Ricci tensor decomposition;

Notice:

$$\partial\tilde{h}_{ij} = e^{-4\varphi}\left[\partial h_{ij} - \frac{1}{3}h_{ij}h^{pq}\partial h_{pq}\right]. \quad (\text{trace free})$$

## BSSN formulation

Solving AMD for  $\varphi$ ,  $k$ ,  $\tilde{h}$ ,  $\tilde{A}$ ,  $\tilde{\gamma}$  (both Hamiltonian and the momentum constraints are used)

$$(7) \quad (\partial_t - \tilde{b}^l \partial_l) \varphi = -\frac{1}{6}k + \frac{1}{6}\partial_s \tilde{b}^s$$

$$(8) \quad (\partial_t - \tilde{b}^l \partial_l) k = -D^l D_l a + \dots$$

$$(9) \quad (\partial_t - \tilde{b}^l \partial_l) \tilde{h}_{ij} = -2a \tilde{A}_{ij} + 2\tilde{h}_{s(i} \partial_{j)} \tilde{b}^s - \frac{2}{3} \tilde{h}_{ij} \partial_s \tilde{b}^s$$

$$(10) \quad (\partial_t - \tilde{b}^l \partial_l) \tilde{A}_{ij} = e^{-4\varphi} \left[ \frac{1}{2} a \partial^l \partial_l \tilde{h}_{ij} + a \partial_{(i} \tilde{\Gamma}_{j)} - 2a \partial_i \partial_j \varphi - \partial_i \partial_j a \right. \\ \left. - 2a \tilde{h}_{ij} \partial^l \partial_l \varphi + \frac{1}{3} \tilde{h}_{ij} \partial^l \partial_l a \right] + \dots$$

$$(11) \quad (\partial_t - \tilde{b}^l \partial_l) \tilde{\Gamma}_i = -\frac{4}{3} a \partial_i k + \frac{1}{3} \partial_i \partial_s \tilde{b}^s + h_{si} \partial^l \partial_l \tilde{b}^s + \dots$$

Plus

$$(12) \quad (\partial_t - \tilde{b}^l \partial_l) a = -a^2 k$$

(here  $\tilde{b}_j = e^{-4\varphi} b_j$ ; dots stand for terms vanishing in near-flat linearization)

## Constraints

The analog of momentum constraint

$$(13) \quad \tilde{D}^p A_{iq} - \frac{2}{3} \partial_i k = 0;$$

The analog of Hamiltonian constraint

$$(14) \quad \tilde{R}_i^i - 8\tilde{D}^i \tilde{D}_i \varphi - 8(\partial^i \varphi)(\partial_i \varphi) + \frac{2}{3} k^2 - A^{ij} A_{ij} = 0;$$

An artificial constraint on  $\tilde{\Gamma}_j$

$$(15) \quad \tilde{\Gamma}_j = \tilde{h}^{pq} \partial_p \tilde{h}_{qj}.$$



## Linearization around flat space

Space-time metric is a perturbation of the Minkowski metric:

$$a = 1 + \alpha, \quad b_i = \beta_i, \quad h_{ij} = \delta_{ij} + \gamma_{ij}, \quad k_{ij} = \kappa_{ij}, \quad \alpha, \beta_i, \gamma_{ij}, \kappa_{ij} \approx O(\epsilon)$$

Then (up to higher order in perturbations)

$$\varphi = \frac{1}{12}\gamma_l^l;$$

$$k = \kappa := \kappa_l^l;$$

$$e^{-4\varphi} = 1 - \frac{1}{3}\gamma_l^l \quad \text{and} \quad e^{4\varphi} = 1 + \frac{1}{3}\gamma_l^l;$$

$$\tilde{h}_{ij} = \delta_{ij} + \gamma_{ij} - \frac{1}{3}\delta_{ij}\gamma_l^l := \delta_{ij} + \tilde{\gamma}_{ij}$$

$$\tilde{A}_{ij} = A_{ij} := \kappa_{ij} - \frac{1}{3}\delta_{ij}\kappa;$$

$$\tilde{\Gamma}_j = \Gamma_j = \partial^l \tilde{\gamma}_{lj};$$

# Linearized BSSN

## Evolution equations

$$(E1) \quad \partial_t \varphi = -\frac{1}{6} \kappa + \frac{1}{6} \partial^s \beta_s;$$

$$(E2) \quad \partial_t \kappa = -\partial^l \partial_l \alpha;$$

$$(E3) \quad \partial_t \alpha = -\kappa;$$

$$(E4) \quad \partial_t \tilde{\gamma}_{ij} = -2\tilde{A}_{ij} + 2\partial_{(i}\beta_{j)} - \frac{2}{3}\delta_{ij}\partial^s \beta_s;$$

$$(E5) \quad \partial_t A_{ij} = \frac{1}{2}\partial^l \partial_l \tilde{\gamma}_{ij} + \partial_{(i}\Gamma_{j)} - 2\partial_i \partial_j \varphi - 2\delta_{ij}\partial^l \partial_l \varphi - \partial_i \partial_j \alpha + \frac{1}{3}\delta_{ij}\partial^l \partial_l \alpha;$$

$$(E6) \quad \partial_t \Gamma_i = -\frac{4}{3}\partial_i \kappa + \frac{1}{3}\partial_i \partial^s \beta_s + \partial^l \partial_l \beta_i;$$

## Constraint equations:

$$(H) \quad \partial^p \partial^q \tilde{\gamma}_{pq} - 8\partial^l \partial_l \varphi = 0, \quad \text{and/or} \quad \partial^l \Gamma_l - 8\partial^l \partial_l \varphi = 0;$$

$$(M) \quad \partial^j A_{ij} - \frac{2}{3}\partial_i \kappa = 0;$$

$$(\text{art}) \quad \Gamma_j = \partial^l \tilde{\gamma}_{lj}.$$

## Reduction to second order in time

Differentiating (E5) in time and using the (E1)–(E6) we get

$$(E7) \quad \partial_t^2 A_{ij} = \partial^l \partial_l A_{ij}.$$

Similarly, differentiating (E2) in time and using (E3) we get

$$(E8) \quad \partial_t^2 k = \partial^l \partial_l k.$$

Unconstrained evolution problem: Given  $\beta$ , and  $\alpha(0)$ ,  $\varphi(0)$ ,  $\kappa(0)$ ,  $\tilde{\gamma}_{ij}(0)$ ,  $A_{ij}(0)$ ,  $\Gamma_i(0)$ , calculate  $\partial_t A_{ij}(0)$  from (E4) and  $\partial_t k(0)$  from (E2), add BCs, solve (E7),(E8) for  $A_{ij}$ ,  $\kappa$ . Integrate (E1), (E3), (E4), (E6) to calculate  $\alpha$ ,  $\varphi$ ,  $\tilde{\gamma}_{ij}$ ,  $\Gamma_i$ .

## Evolution of the constraints

Introduce

$$M_i = \partial^j A_{ij} - \frac{2}{3}\partial_i k;$$

$$H1 = \partial^p \partial^q \tilde{\gamma}_{pq} - 8\partial^l \partial_l \varphi;$$

$$H2 = \partial^l \Gamma_l - 8\partial^l \partial_l \varphi;$$

Notice, that  $\partial_t H1 = \partial^j M_j$  so if the momentum constraint is satisfied ( $M_j = 0$ ) then  $H1 \equiv 0$  provided it is zero initially;  $\partial_t H2 = 0$  as follows from (E6), (E1).

So, we need to check  $M_j = 0$ .

## Evolution of $M_i$

The propagation of the momentum constraint is given by

$$(E9) \quad \partial_t^2 M_j = \partial^l \partial_l M_j$$

the value of  $\partial_t M_j(0)$  can be calculated from (E5) and (E2) as

$$\partial_t M_i(0) = -\frac{1}{2} \partial^l \partial_l \partial^m \tilde{\gamma}_{im}(0) + \frac{1}{2} \partial_i \partial^l \Gamma_l(0) + \frac{1}{2} \partial^l \partial_l \Gamma_i(0) - 4 \partial_i \partial^l \partial_l \varphi(0).$$

If we can find a set of well-posed boundary conditions for the linearized BSSN system that imply

$$\left(\frac{\partial}{\partial n} M_j\right) \partial_t M^j = 0$$

then we are done!

**Why**  $(\frac{\partial}{\partial n}M_j)\partial_tM^j = 0$ ?

Energy argument: contract both sides of (E9) with  $\partial_tM^i$ , integrate over the domain  $\Omega$ :

$$\int_{\Omega} (\partial_t^2 M_j) \partial_t M^j = \int_{\Omega} (\partial^l \partial_l M_j) \partial_t M^j$$

integrate by parts

$$\frac{1}{2} \partial_t [\|\partial_t M_i\|^2 + \|\partial_j M_i\|^2] = \int_{\partial\Omega} (\frac{\partial}{\partial n} M_j) \partial_t M^j.$$

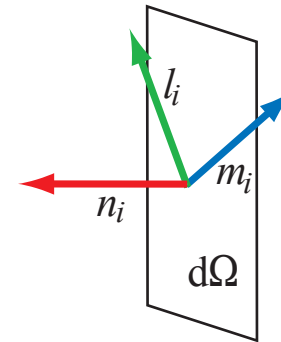
(for example, either  $M_i = 0$ , or  $\frac{\partial}{\partial n} M_i = 0$ , or mixed)

$(\frac{\partial}{\partial n} M_j) \partial_t M^j = 0$  **via the main variables: the technology**

Introduce, orthonormal basis:  $n_i, m_i, l_i,$

Rewrite,  $\partial^j u_j = \frac{\partial}{\partial n} u_i n^i + \frac{\partial}{\partial m} u_i m^i + \frac{\partial}{\partial l} u_i l^i,$

$$\partial_i \kappa = \frac{\partial}{\partial n} \kappa n_i + \frac{\partial}{\partial m} \kappa m_i + \frac{\partial}{\partial l} \kappa l_i$$



Decompose

$$A_{ij} = A1 (n_{(i} m_{j)}) + A2 (n_{(i} l_{j)}) + A3 (l_{(i} m_{j)}) + A4 (l_i l_j - m_i m_j) + A5 (2n_i n_j - l_i l_j - m_i m_j).$$

Substitute into  $M_i = \partial^j A_{ij} - \frac{2}{3} \partial_i k$  and the result into  $(\frac{\partial}{\partial n} M_j) \partial_t M^j = 0$

Simplify ...

$$\begin{aligned}
& \left(\frac{\partial}{\partial n} M_i\right) (\partial_t M^i) \\
&= \frac{\partial}{\partial n} \left[ \frac{1}{2} \frac{\partial}{\partial m} A1 + \frac{1}{2} \frac{\partial}{\partial l} A2 + 2 \frac{\partial}{\partial n} A5 - \frac{2}{3} \frac{\partial}{\partial n} \kappa \right] \\
&\quad \times \partial_t \left[ \frac{1}{2} \frac{\partial}{\partial m} A1 + \frac{1}{2} \frac{\partial}{\partial l} A2 + 2 \frac{\partial}{\partial n} A5 - \frac{2}{3} \frac{\partial}{\partial n} \kappa \right] \\
&+ \frac{\partial}{\partial n} \left[ \frac{1}{2} \frac{\partial}{\partial n} A1 + \frac{1}{2} \frac{\partial}{\partial l} A3 - \frac{\partial}{\partial m} A4 - \frac{\partial}{\partial m} A5 - \frac{2}{3} \frac{\partial}{\partial m} \kappa \right] \\
&\quad \times \partial_t \left[ \frac{1}{2} \frac{\partial}{\partial n} A1 + \frac{1}{2} \frac{\partial}{\partial l} A3 - \frac{\partial}{\partial m} A4 - \frac{\partial}{\partial m} A5 - \frac{2}{3} \frac{\partial}{\partial m} \kappa \right] \\
&+ \frac{\partial}{\partial n} \left[ \frac{1}{2} \frac{\partial}{\partial n} A2 + \frac{1}{2} \frac{\partial}{\partial m} A3 + \frac{\partial}{\partial l} A4 - \frac{\partial}{\partial l} A5 - \frac{2}{3} \frac{\partial}{\partial l} \kappa \right] \\
&\quad \times \partial_t \left[ \frac{1}{2} \frac{\partial}{\partial n} A2 + \frac{1}{2} \frac{\partial}{\partial m} A3 + \frac{\partial}{\partial l} A4 - \frac{\partial}{\partial l} A5 - \frac{2}{3} \frac{\partial}{\partial l} \kappa \right] (= 0 ???).
\end{aligned}$$



## By direct observation

Either of the two sets of boundary conditions imply  $((\partial/\partial n)M^i)(\partial_t M_i) = 0$  on  $\partial\Omega$ :

$$A1 = 0, \quad A2 = 0, \quad \frac{\partial}{\partial n}A3 = 0, \quad \frac{\partial}{\partial n}A4 = 0, \quad \frac{\partial}{\partial n}A5 = 0, \quad \frac{\partial}{\partial n}\kappa = 0,$$

$$\frac{\partial}{\partial n}A1 = 0, \quad \frac{\partial}{\partial n}A2 = 0, \quad A3 = 0, \quad A4 = 0, \quad A5 = 0, \quad \kappa = 0.$$

(the first set eliminates the second multiplier in the first term of  $((\partial/\partial n)M^i)(\partial_t M_i) = 0$  and the first multipliers in the second and third terms (by commuting partial derivatives and using (E9)). Second set is verified in a similar way.)

**Too restrictive! Needs compatibility with the initial data!**

## Differential boundary conditions

Require  $M_i = 0$ :

$$\begin{aligned}\frac{1}{2} \frac{\partial}{\partial m} A1 + \frac{1}{2} \frac{\partial}{\partial l} A2 + 2 \frac{\partial}{\partial n} A5 - \frac{2}{3} \frac{\partial}{\partial n} \kappa &= 0 \\ \frac{1}{2} \frac{\partial}{\partial n} A1 + \frac{1}{2} \frac{\partial}{\partial l} A3 - \frac{\partial}{\partial m} A4 - \frac{\partial}{\partial m} A5 - \frac{2}{3} \frac{\partial}{\partial m} \kappa &= 0 \\ \frac{1}{2} \frac{\partial}{\partial n} A2 + \frac{1}{2} \frac{\partial}{\partial m} A3 + \frac{\partial}{\partial l} A4 - \frac{\partial}{\partial l} A5 - \frac{2}{3} \frac{\partial}{\partial l} \kappa &= 0\end{aligned}$$

For example, prescribe  $A3$ ,  $A4$ ,  $\kappa$ , and use  $M_i = 0$  as the boundary conditions for the rest.

## Evolving boundary conditions

Require  $\frac{\partial}{\partial n} M_i n^i = 0$ ,  $M_i l^i = 0$ ,  $M_i m^i = 0$ .

Solve for

$$2 \frac{\partial^2}{\partial t^2} A5 - \left( \frac{\partial^2}{\partial l^2} + \frac{\partial^2}{\partial m^2} \right) A5 - \frac{2}{3} \frac{\partial^2}{\partial t^2} \kappa + \frac{4}{3} \left( \frac{\partial^2}{\partial l^2} + \frac{\partial^2}{\partial m^2} \right) \kappa = \left( \frac{\partial^2}{\partial l^2} - \frac{\partial^2}{\partial m^2} \right) A4 + \frac{\partial}{\partial l} \frac{\partial}{\partial m} A3,$$

$$\frac{1}{2} \frac{\partial}{\partial n} A1 + \frac{1}{2} \frac{\partial}{\partial l} A3 - \frac{\partial}{\partial m} A4 - \frac{\partial}{\partial m} A5 - \frac{2}{3} \frac{\partial}{\partial m} \kappa = 0$$

$$\frac{1}{2} \frac{\partial}{\partial n} A2 + \frac{1}{2} \frac{\partial}{\partial m} A3 + \frac{\partial}{\partial l} A4 - \frac{\partial}{\partial l} A5 - \frac{2}{3} \frac{\partial}{\partial l} \kappa = 0$$

For example, prescribe  $A3$ ,  $A4$ ,  $\kappa$ , evolve first equation for  $A5$ , use other two as the inhomogeneous Neumann data on  $A1$  and  $A2$ .